

International Civil Aviation Organization

THE THIRD MEETING OF PERFORMANCE BASED NAVIGATION IMPLEMENTATION COORDINATION GROUP (PBNICG/3)

Bangkok, Thailand, 08 – 10 March 2016

Agenda Item 3: Review of related global/regional plans, priorities and targets

UPDATE ON THE SEAMLESS ATM REPORTING PROCESS AND REGIONAL PICTURE

(Presented by the Secretariat)

SUMMARY

This paper presents the status of the Seamless ATM Reporting process since November 2014, and the regional picture as of 16 February 2016 reflecting the implementation progress of Air Navigation Improvements in APAC Region against the objectives set forth by the GANP ASBU Block 0 and Seamless ATM plan v1.

1. INTRODUCTION

- 1.1 ICAO Doc.9750 Global Air Navigation Plan (2013-2028) contains the vision of an integrated, harmonized, and globally interoperable ATM System beyond 2028. To achieve this objective the involvement and active participation of States from each of the 7 ICAO Regions in the world is essential. To facilitate the process each region is responsible for the adaptation of the GANPs global requirements in to the environment and specificity of the region, therefore the regional plan had to been created. The first version was published in 2013 as Asia/Pacific Seamless ATM Plan V1.0 and assumed the implementation of the GANPs ASBU Block 0 module together with regional needs.
- 1.2 The Asia/Pacific Seamless ATM Reporting process (implemented since November 2014) together with the regional picture as of 16 February 2016 is monitoring the implementation progress of Air Navigation Improvements in APAC Region against the objectives set forth by the GANP ASBU Block 0 and Seamless ATM plan v1.0.
- 1.3 In 2014, the APANPIRG/25 Meeting adopted Regional Priorities and Targets for the APAC Region through the following Conclusion:

Conclusion APANPIRG 25/2 – APAC Regional Air Navigation Priorities and Targets

That, the Regional Priorities and Targets contained in Appendix A to this Report on Agenda Item 3.0 be endorsed by APANPIRG.

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1.4 Therefore milestones, targets and metrics of each key planning elements for Air Navigation Report Forms (ANRFs) were adopted by APANPIRG, with the exception of B0-OPFL and B0-WAKE:

Conclusion APANPIRG 25/3 – Air Navigation Report Forms (ANRFs) and Responsibility Matrix

That, the ANRF regarding the Block 0 ASBUs (except B0-RSEQ, B0-OPFL, and B0-WAKE) provided in the APANPIRG/25/WP26 Appendices A to P together with the matrix of responsibilities placed at Appendices B and C to the Report on Agenda Item 3.0 be adopted for the APAC Region.

1.5 Following the building up of a web-based reporting tool by ICAO, the meeting adopted the following Conclusion:

Conclusion APANPIRG 25/5 – Web-based Seamless ATM Implementation Progress Reporting Process

That, States/Administrations be urged to report on their Seamless ATM implementation progress at least once a year through the ICAO online reporting process from November 2014 onwards.

- 1.6 The ICAO Regional Office also encouraged APAC States/Administrations to nominate their Points of Contact (POC) as requested by ICAO state letter. The Points of Contact are responsible for submitting and updating their respective State's progress of implementing the Seamless ATM Plan.
- 1.7 This paper presents the status of the reporting process, the outcomes of the first year of reporting.

2. DISCUSSION

Status of the reporting process

- As a follow-up to the Conclusion APANPIRG 25 a number of States/Administrations reported on their Seamless ATM implementation progress. The status of the reporting process as per **16 February 2016** is placed at **Appendix A**.
- 2.2 <u>A total of 22 States/Administrations, i.e. 52% of the APAC States/Administrations,</u> have submitted one or more report(s) on the ICAO Seamless ATM Reporting portal. Among those 22 States/Administrations, 9 update their progress on a regular basis, which is an excellent practice.

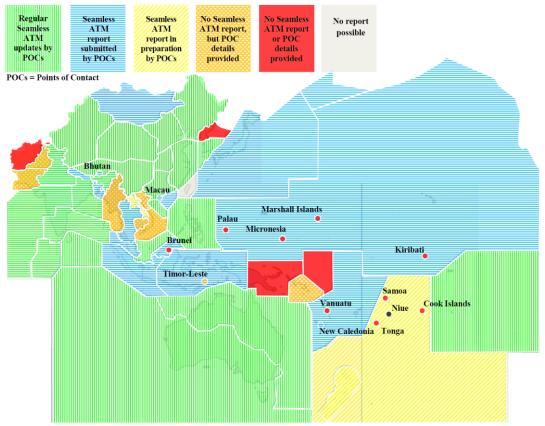


Figure 1: Status of the Seamless ATM Reporting (16 February 2016)

- 2.3 One State/Administration has prepared a first report but not submitted it yet.
- 2.4 A total of **19** States/Administrations have not prepared Seamless ATM reports.
- 2.5 Some States have experienced difficulty in their interaction with the online reporting function, mainly for access authorization. The ICAO Regional Office has provided the concerned users with information and assistance.

Points of Contact

2.6 As per **Appendix A**, a total of **29** States/Administrations have nominated their seamless Points of Contact. However **13** States/Administration have <u>not</u> reported the nomination of their Points of Contact (POC).

Matrix of responsibilities

2.7 As part of Conclusion APANPIRG 25/3, a responsibility matrix was adopted (**Appendix B**). Since then, as per IP/06 regarding the outcomes of the coordination meeting between APANPIRG & RASG APAC held on 21st May 2015, RASG–APAC stressed the need to continue with the lead responsibility for the implementation of TCAS II; APANPIRG would provide results of monitoring collected through the seamless ATM online reporting process to RASG–APAC.

Relation with the e-ANP

- 2.8 The introduction of the APAC e-ANP (Electronic Air Navigation Plan) took place at the end of 2015. Volumes 1 and 2 include regional requirements; while the draft Volume 3 included the regional objectives.
- 2.9 All regional priorities and targets adopted through the Conclusion APANPIRG 25/2 are contained in the e-ANP volume 3. Likewise, all seamless ATM objectives are incorporated in the draft e-ANP volume 3. All priorities, targets, metrics and supporting documents are incorporated in the APAC main planning table in accordance with the e-ANP template adopted by the Council.

Regional Picture

- 2.10 The purpose of monitoring the implementation progress is to find out where the difficulties and issues lay, and take corrective actions at APANPIRG, Sub Group, and/or Task Force levels as appropriate.
- 2.11 The regional picture depicts the progress of States/Administrations in Asia Pacific against the GANP and e-ANP Volume III objectives. This picture is to be used as a tool by the different APANPIRG bodies (as per Responsibility matrix) to steer their action and take corrective actions as needed to ensure full implementation of the objectives.
- 2.12 The regional picture as of 16 February 2016 can be found here: http://www.icao.int/APAC/Pages/ATMReport.aspx and is placed at **Appendix C**. The regional picture shows the progress of implementation against the indicators as per the APAC main planning table and adopted ANRF.
- APAC States/Administrations are presented in alphabetical order. The date of the latest report submitted is given in the second column to indicate the freshness of information; the changes to the regional picture since its previous issuance are indicated in green. N/A means that the reporting State found this item Not Applicable in its national plans, as a result of its gap analysis. No data indicates that no data was provided by the reporting State, meaning that either the whole item was not yet analyzed or had been analyzed but no progress was indicated.
- 2.14 There would be an interest to present this information geographically to detect for examples non seamless areas along Main Traffic Flows; however the limited resources at ICAO HQ prevented progress of this undertaking.
- 2.15 In order to improve the quality of the picture, the ICAO APAC Office interacts with POCs on an ongoing basis and cross checks the information available in the ICAO Regional Office from other sources of collection (such as PBN detailed reports from States, State AIS AIM transition table, AIDC table, etc) and challenging the submitted reports where necessary. The cross check with PBN detailed reports shows that the Seamless Points of Contact and PBN Points of Contact are not systematically crossing their data, which should be improved in the future.

Regional Performance dashboard

2.16 The Regional Performance Dashboard aims to provide a glance of both Safety and Air Navigation Capacity and Efficiency strategic objectives, using a set of indicators and targets based on the regional implementation of the Global Aviation Safety Plan (GASP) and the Global Air Navigation Plan (GANP). It can be found at http://www.icao.int/safety/pages/regional-targets.aspx. The source for PBN Types and Runway Ends is from Jepessen combined with ICAO data.

- 2.17 The Regional Performance Dashboard as of 16 Feb. 2016 as per Figure 2 shows that in APAC region that 21 States are above the 2014 objective of 70% of international runways at international aerodromes with APV or LNAV-only procedures.
- 2.18 8 States are still behind the 2014 objective of 70% of international runways at international aerodromes with APV or LNAV-only procedures
 - Singapore (66.67%)
 - Fiji (66.67%)
 - Pakistan (60%)
 - Malaysia (57.14%)
 - Maldives (50%)
 - Thailand (55.56%)
 - Japan (41.3%)
 - Indonesia (37.14%)
- 2.19 8 States are still behind 2010 objectives of 30% of international runways at international aerodromes with APV or LNAV-only procedures:
 - Philippines (26.67%)
 - Bangladesh (25%)
 - Viet Nam (7.69%)
 - India (1.85%)
 - Bhutan (0%)
 - Brunei Darussalam (0%)
 - China (0%)
 - Sri Lanka (0%)

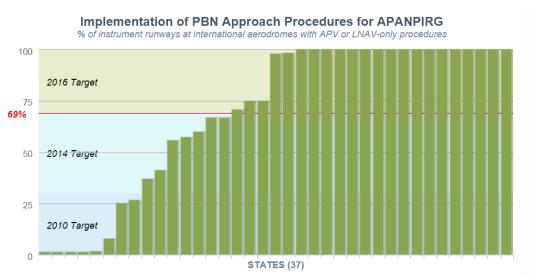


Figure 2: ICAO Regional Performance Dashboard, APANPIRG grouping, PBN Approach (16 February 2016)

2.20 The figure 3 shows the breaking down into the different types of procedures.

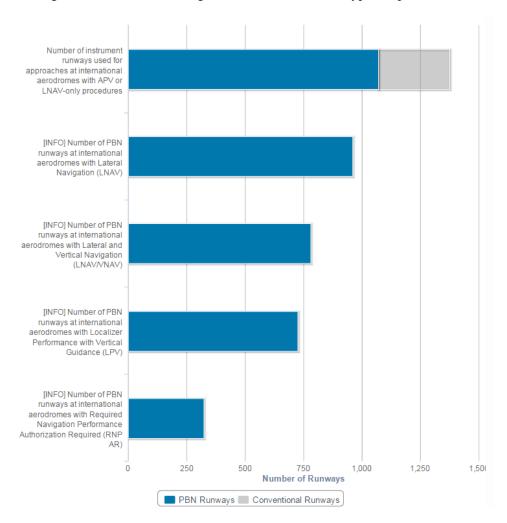


Figure 3: ICAO Regional Performance Dashboard, APANPIRG grouping, PBN Approach (16 February 2016)

Future Plans

- 2.21 The reporting process will develop and induce the new items being incorporated in the targets/objectives that will stem from ASBU Block 1 elements (2019 2023) and from the next versions of the Seamless ATM Plan (the updated version being planned for 2016).
- 2.22 A significant effort has been done by the ICAO APAC Regional Office to increase the number of points of contact, reporting States/Administrations and provide assistance as required.
- 2.23 However, overall, the response to an important, region-wide tool and APANPIRG Conclusion 24/55 which expected States to submit the first Regional Seamless ATM Reporting Form to the ICAO Regional Office by 01 March 2014 and aforementioned conclusions 25/2, 25/3 and 25/5 was still mixed. While the ratio of reporting States/Administrations having reported was encouraging,

it was still insufficient to monitor and solve the difficulties of regional implementation, and thus ensure that the regional targets and seamless objectives would be met with sufficient confidence.

3. ACTION BY THE MEETING

- 3.1 The meeting is invited to:
 - a) urge States/Administrations not having done so to nominate their points of contact and report on their Seamless ATM implementation progress at least once a year through the ICAO online reporting process;
 - b) urge Seamless Points of Contact and PBN Points of Contact to systematically cross their data before reporting;
 - c) analyze PBN statistics for APAC (both Regional Picture and dashboard), and consider gaps of implementation for its further action;
 - d) note the information contained in this paper; and
 - e) discuss any relevant matters as appropriate.

Status of the Seamless ATM reporting process (16 February 2016)

State/Administration	Status	Points of contact nominated	Latest submission
Australia	Submitted Regular updates	Yes	Q 2015-3
Bangladesh	Submitted	Yes	Q 2015-2
Bhutan	Submitted Second update in preparation	Yes	Q 2015-2
China	Submitted Regular updates	Yes	Q 2015-3
Fiji	Submitted	Yes	Q 2015-3
French Polynesia, France	Submitted Regular updates	Yes	Q 2015-3
Hong Kong, China	Submitted	Yes	Q 2014-1
India	Submitted Regular updates	Yes	Q 2015-4
Indonesia	Submitted	Yes	Q 2015-4
Japan	Submitted	Yes	Q 2014-4
Macao, China	Submitted	Yes	Q 2014-4
Malaysia	Submitted	Yes	Q 2015-4
Maldives	Submitted Regular updates	Yes	Q 2016-1
Mongolia	Submitted	Yes	Q 2016-1
Nepal	Submitted	Yes	Q 2016-1
New Caledonia, France	Submitted	Yes	Q 2015-2
Philippines	Submitted Regular updates	Yes	Q 2016-1
Republic of Korea	Submitted Regular updates	Yes	Q 2015-3

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State/Administration	Status	Points of contact nominated	Latest submission
Singapore	Submitted Regular updates	Yes	Q 2015-3
Sri Lanka	Submitted Regular updates	Yes	Q 2015-4
Thailand	Submitted Regular updates	Yes	Q 2015-4
United States	Submitted	Yes	Q 2015-1
New Zealand	In preparation	Yes	-
Afghanistan	No report	No	-
Brunei Darussalam	No report	No	-
Cambodia	No report	Yes	-
Cook Islands	No report	No	-
Democratic People's Republic of Korea	No report	No	-
Kiribati	No report	No	-
Lao People's Democratic Republic	In preparation	Yes	-
Marshall Islands	No report	No	-
Micronesia (Federated States of)	No report	No	-
Myanmar	No report	Yes	-
Nauru	No report	No	-
Pakistan	No report	Yes	-
Palau	No report	No	-
Papua New Guinea	No report	No	-
Samoa	No report	No	-
Solomon Islands	No report	Yes	-
Democratic Republic of Timor-	No report	Yes	-

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State/Administration	Status	Points of contact nominated	Latest submission
Leste			
Tonga	No report	No	-
Vanuatu	No report	No	-
Vietnam	No report	Yes	-

Responsibility matrix for all Seamless items

Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
Apron Management	10	3	Regional	-	ATM SG
ATM-Aerodrome Coordination	20	3	Regional	-	ATM SG
Aerodrome capacity	30	3	Regional	-	ATM SG
Safety and Efficiency of Surface Operations	40	3	BO-SURF	Safety and Efficiency of Surface Operations (A-SMGCS Level 1-2)	CNS SG
Arrival Manager/Departure Management (AMAN/DMAN)	50	2	B0-RSEQ	Improve Traffic flow through Sequencing (AMAN/DMAN)	ATM SG
ATC Sector Capacity	60	2	Regional	-	ATM SG
Airport Collaborative Decision-Making (ACDM)	70	2	B0-ACDM	Improved Airport Operations through Airport-CDM	ATM SG
Air Traffic Flow Management/Collaborative Decision- Making (ATFM/CDM)	80	1	BO-NOPS	Improved Flow Performance through Planning based on a Network-Wide view	ATM SG

Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
Continuous Descent Operations (CDO)	90	2	B0-CDO	Improved Flexibility and Efficiency in Descent Profiles using Continuous Descent Operations (CDOs)	CNS SG
Continuous Climb Operations (CCO)	100	2	B0-CCO	Improved Flexibility and Efficiency Departure Profiles – Continuous Climb Operations (CCO)	CNS SG
Performance-based Navigation (PBN) Approach	110	1	BO-APTA	Optimization of Approach Procedures including vertical guidance	CNS SG
Standard Instrument Departures/Standard Terminal Arrivals (SID/STAR)	120	2	B0-CCO B0-CDO	Optimization of Approach Procedures including vertical guidance	CNS SG
Performance-based Navigation (PBN) Visual Departure and Arrival Procedures	130	3	Regional	-	ATM SG
Performance-based Navigation (PBN) Routes	140	2	BO-FRTO	Improved Operations through Enhanced En- Route Trajectories	CNS SG
Performance-based Navigation (PBN) Airspace	150	2	Regional	-	ATM SG
Safety Nets	160	2	BO-SNET	Increased effectiveness of ground-based safety nets	CNS SG
Airborne Safety Systems	170	2	B0-ACAS	Airborne Collision Avoidance Systems (ACAS) Improvements	RASG

Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
ADS-B airspace	180	1	BO-ASUR	Initial Capability for Ground Surveillance	CNS SG
Airspace classification	190	2	Regional	-	ATM SG
Flight Level Orientation Schemes (FLOS)	200	2	Regional	-	ATM SG
Flight Level Allocation Schemes (FLAS)	210	2	Regional	-	ATM SG
ATS Inter-facility Data-link Communications (AIDC)	220	1	BO-FICE	Increased Interoperability Efficiency & Capacity through Ground-Ground Integration	CNS SG
Automated Transfer of Control	230	2	Regional	-	ATM SG
ATS Surveillance data sharing	240	2	Regional	-	CNS SG
ATM systems enabling optimal PBN/ATC operations	250	2	BO-APTA	Optimization of Approach Procedures including vertical guidance	CNS SG
ATC Horizontal separation	260	2	Regional	-	ATM SG

Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
ATS surveillance with data integrated	270	1	BO-ASUR	Initial Capability for Ground Surveillance	CNS SG
ADS-C and CPDLC	280	1	во-тво	Improved Safety and Efficiency through the initial application of Data Link En-Route	CNS SG
UPR and DARP	290	3	B0-FRTO	Improved Safety and Efficiency through the initial application of Data Link En-Route	ATM SG
Aeronautical Information Management	300	1	B0-DATM	Service Improvement through Digital Aeronautical Information Management	ATM SG
Meteorological Information	310	2	BO-AMET	Meteorological information supporting enhanced operational efficiency and safety	MET SG
ATM Managers' Performance	320	2	Regional	-	ATM SG
ATC simulators performance	330	2	Regional	-	ATM SG
Safety assessment of changes	340	2	Regional	-	ATM SG

Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
ATM Operators' performance	350	2	Regional	-	ATM SG
Civil Military use of SUA	360	1	B0-FRTO	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Strategic Civil Military coordination	370	1	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Tactical Civil Military coordination	380	1	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Civil Military system integration	390	2	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Civil Military navaids joint provision	400	2	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Civil Military common training	410	2	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Civil Military common procedures	420	2	Regional	Improved Operations through Enhanced En- Route Trajectories	ATM SG
Nil	430	2	BO-ASEP	Air Traffic Situational Awareness (ATSA)	CNS SG

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Seamless ATM Specification title	Seamless Reference	Regional Priority	Regional/ ASBU Module	ASBU - Module title	Endorsing body
Nil	440	3	B0-WAKE	Improved Access to Optimum Flight Levels through Climb/Descent Procedures using ADS-B	ATM SG
Nil	450	3	B0-OPFL	Increased Runway Throughput through Optimized Wake Turbulence Separation	ATM SG



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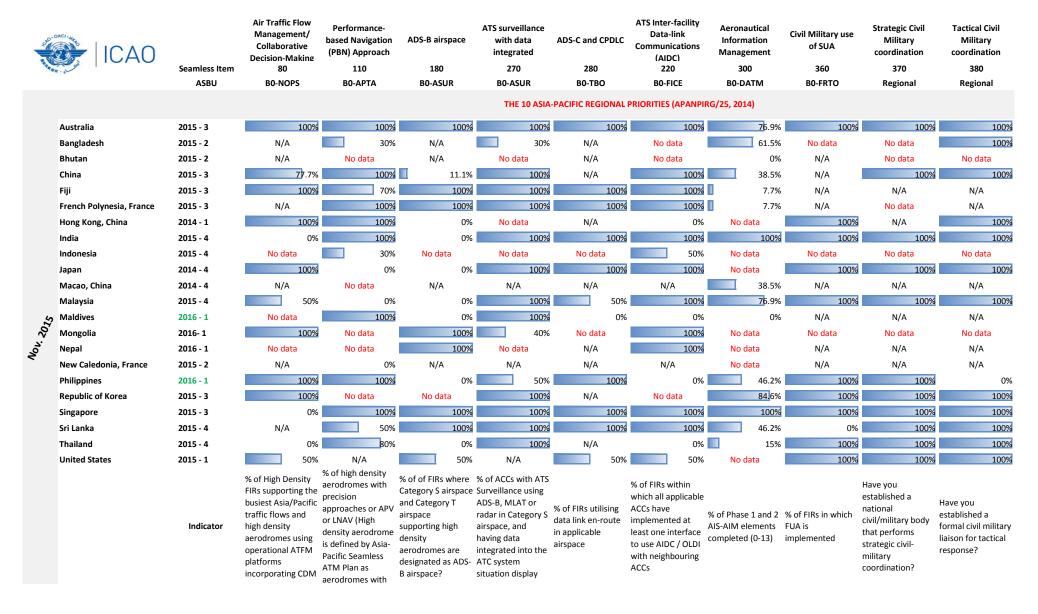
ANS implementation in Asia-Pacific: Regional Picture as of 16 Feb. 2016

anient presents.	
	the ten Asia-Pacific Regional Priorities adopted by APANPIRG/25, 2014
	the implementation status of those ten Regional Priorities
	the ASBU B0 modules and APAC Seamless ATM items acting as safety barriers to CFIT, RS and LOC-I occurrences
	the implementation status of ASBU B0 modules and APAC Seamless ATM items as safety barriers to CFIT, RS and LOC-I occurrences
	the implementation status of the 45 objectives of the Seamless ATM plan v1 (phase 1: Nov. 15, phase 2: Nov. 18)
	APAC States/Administrations are presented in alphabetical order. The date of the latest report submitted is given in the second column to indicate the freshness of information (format Year-Quarter).
No data	No data means that no data was provided by the reporting State, indicating that either the whole item was not yet analyzed or had been analyzed but no progress was indicated.
N/A	N/A means that the reporting State/Administration found this item Not Applicable in its national plans, as a result of its gap analysis.
	Green colour reflects a change in the information submitted to ICAO since the previous version of the regional picture. Example: 2016-1



THE 10 ASIA-PACIFIC REGIONAL PRIORITIES ADOPTED BY APANPIRG/25, 2014

Seamless Item	Related ASBU module	Target
80 - Air Traffic Flow Management / Collaborative Decision-Making (ATFM/CDM)	B0-NOPS	1. All High Density FIRs supporting the busiest Asia/Pacific traffic flows and high-density aerodromes should implement ATFM incorporating CDM using operational ATFM platform/s. Note: High Density FIRs are defined as: a) South Asia: Delhi, Mumbai; b) Southeast Asia: Bangkok, Hanoi, Ho Chi Minh, Jakarta, Kota Kinabalu, Manila, Sanya, Singapore, Vientiane; and c) East Asia: Beijing, Fukuoka, Guangzhou, Hong Kong, Kunming, Incheon, Shanghai, Shenyang, Taibei, Wuhan. [APANPIRG Conclusion 22/8 and 23/5 refer]
110 - PBN Approach	во-арта	2. <u>Approach</u> : Where practicable, all high-density aerodromes with instrument runways serving aeroplanes should have precision approaches or APV or LNAV. Note 1: High density aerodrome is defined by Asia-Pacific Seamless ATM Plan as aerodromes with scheduled operations in excess of 100,000/year. Note 2: the Asia/Pacific PBN Plan Version 3 required RNP APCH with Baro-VNAV or APV in 100% of instrument runways by 2016
180 - ATS Surveillance	B0-ASUR	3. All Category S upper controlled airspace and Category T airspace supporting high density aerodromes should be designated as non-exclusive or exclusive as appropriate ADS-B airspace requiring operation of ADS-B.
270 - ATS surveillance with data integrated	BO-ASUR	4. ADS-B or MLAT or radar surveillance systems should be used to provide coverage of all Category S-capable airspace as far as practicable, with data integrated into operational ATC aircraft situation displays.
280 - ADS-C and CPDLC	во-тво	5. Within Category R airspace, ADS-C surveillance and CPDLC should be enabled to support PBN-based separations.
220 - ATS Inter-facility Data-link Communications (AIDC)	B0-FICE	6. All States between ATC units where transfers of control are conducted have implemented the messages ABI, EST, ACP, TOC, AOC as far as practicable.
300 - Aeronautical Information Management	B0-DATM	7. ATM systems should be supported by digitally-based AIM systems through implementation of Phase 1 and 2 of the AIS-AIM Roadmap .
360 - Civil Military use of SUA	B0-FRTO	8. Enhanced En-Route Trajectories: All States should ensure that SUA are regularly reviewed by the appropriate Airspace Authority to assess the effect on civil air traffic and the activities affecting the airspace.
370 - Strategic Civil Military coordination	Strategic Civil Military coordination (Regional)	9. Enhanced En-Route Trajectories: All States should ensure that a national civil/military body coordinating strategic civil-military activities is established.
380 - Tactical Civil Military coordination	Tactical Civil Military coordination (Regional)	10. Enhanced En-Route Trajectories: All States should ensure that formal civil military liaison for tactical response is established.



N/A: Not Applicable

Implementation status of the 10 Regional Priorities (APANPIRG)
(Regional Picture 16 Feb. 2016)

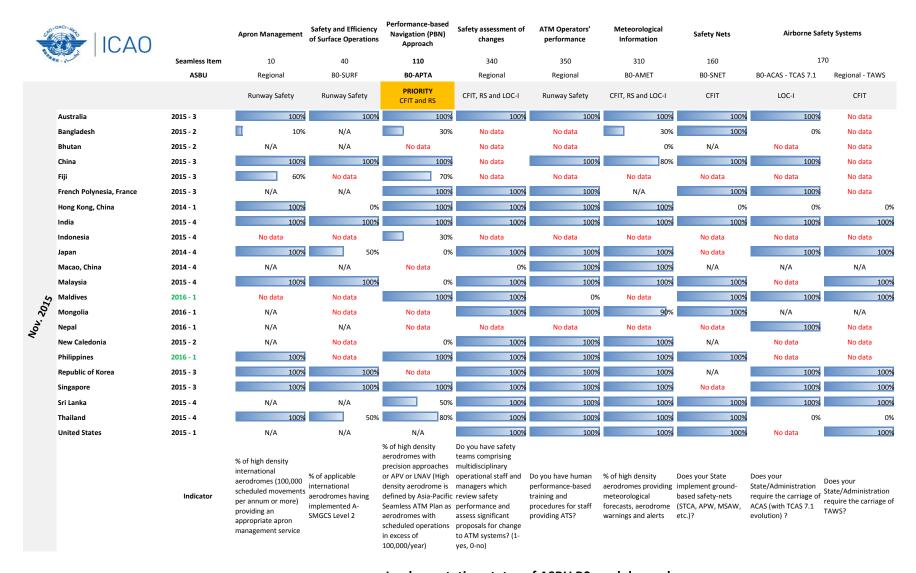
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ASBU BO MODULES AND APAC SEAMLESS ATM ITEMS AS SAFETY BARRIERS TO CFIT, RS AND LOC-I OCCURRENCES

	CFIT	RS	LOC-I			
	B0-A Optimization of Ap	B0-ACAS Collision Avoidance Systems				
ASBU Modules (B0)	B0-SNET Safety Nets	BO-SURF Surface Operations	- Nil -			
	B0-AME	aation [1]				
	170 - Airborne Safety Systems (TAWS)	170 - Airborne Safety Systems (TCAS 7.1)				
APAC Seamless ATM items (Seamless ATM Plan v1)	- Nil -	- Nil -				
	340 - Safety Assessment of Changes					

[1] Specifically for Runway safety: Aerodrome warnings

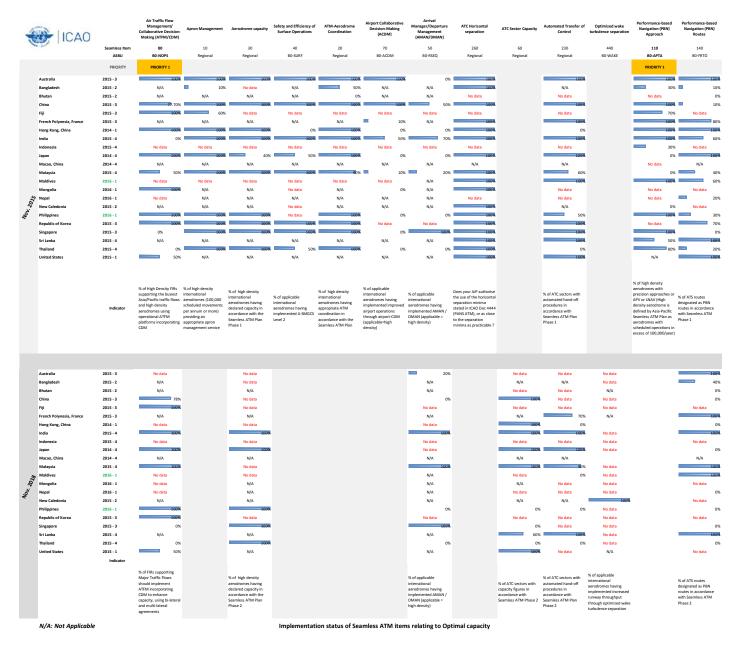


N/A: Not Applicable

Implementation status of ASBU B0 modules and APAC Seamless ATM items as safety barriers to CFIT, RS and LOC-I occurrences

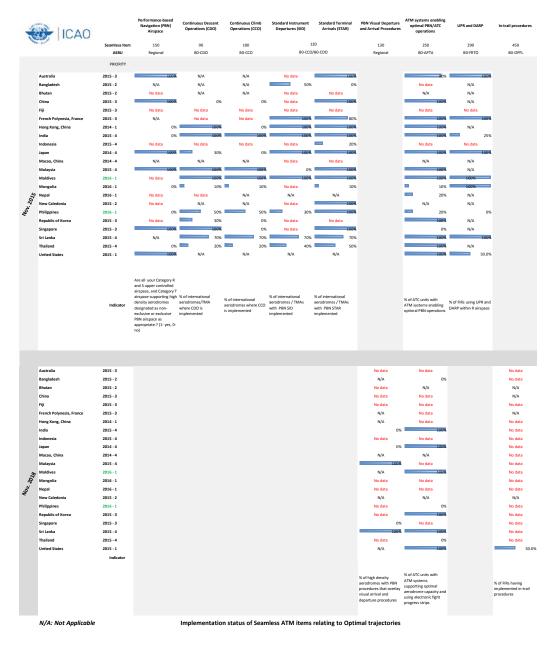
(Regional Picture 16 Feb. 2016)

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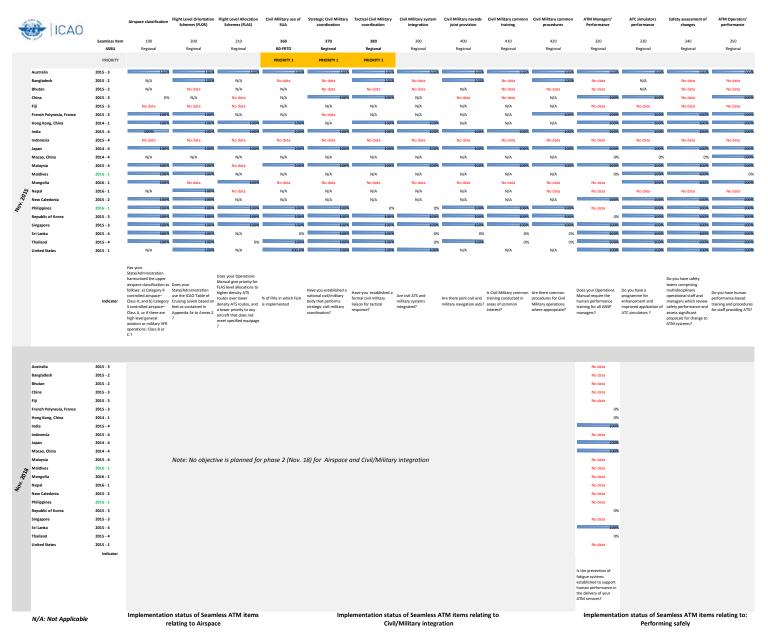


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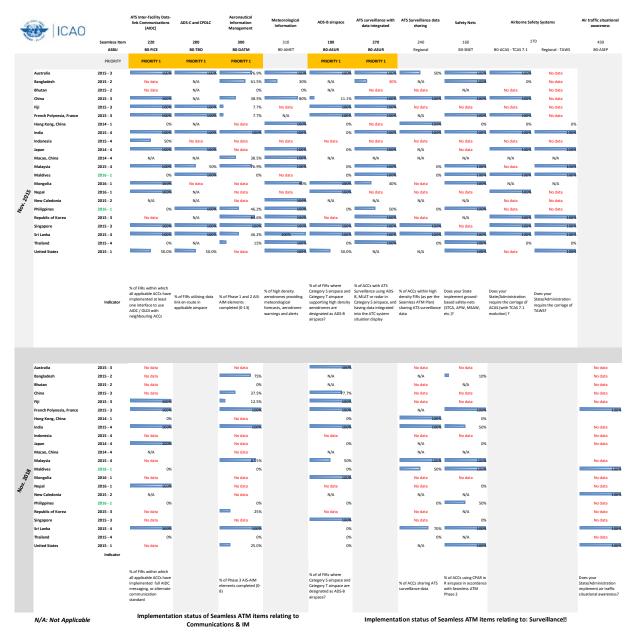
(Regional Picture 16 Feb. 2016)



(Regional Picture 16 Feb. 2016)

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(Regional Picture 16 Feb. 2016)